

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
9983

In Re Application: **Douglas A. Hammond and Dirk Heukelbach**

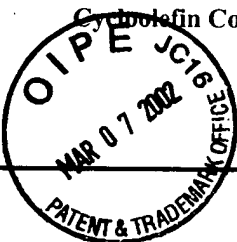
Serial No.
10/016,334

Filing Date
December 10, 2001

Examiner
Unknown

Group Art Unit
1714

Cycloolefin Copolymer Resins Having Improved Optical Properties



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Karen E. Klumas
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Ticona LLC
86 Morris Avenue
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Telephone: 908-522-7867

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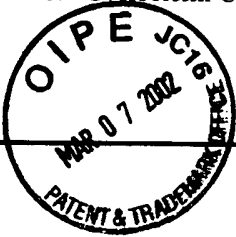
Monica Pettus
Name of applicant, assignee, or

Registered Representative

Monica Pettus
Signature

March 7, 2002
Date of Signature

4

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
9983In Re Application Of: **Douglas A. Hammond and Dirk Heukelbach**Serial No.
10/016,334Filing Date
December 10, 2001Examiner
UnknownGroup Art Unit
1714Title: **Cycloolefin Copolymer Resins Having Improved Optical Properties**COPY OF PAPERS
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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).



#3

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Attorney's Docket No. 9983

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re the application of: **Douglas A. Hammond, et al.**Serial No.: **10/016,334**

Unit: 1714

Filed: December 10, 2001

Examiner: Unknown

For: **Cycloolefin Copolymer Resins Having Improved Optical Properties****Assistant Commissioner for Patents
Washington, D.C. 20231****INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS
OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION**

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56. Copies of these documents are also being submitted to comply with the provisions of 37 C.F.R. §1.97 and §1.98.

The submission of these documents is not intended as an admission that any or all of these materials are prior art against the claims of the present application. Applicants do not waive any rights to file Affidavits under 37 C.F.R. §1.131, to copy claims from the listed patent documents, or to take any other action which would be appropriate in the event that one or more of the documents are determined to be questionable as references against the claims of the present application.

Certificate of Mailing 37 CFR 1.10

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service Express Mail Post Office to Addressee Service Label No. EL676571741US in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:

Monica Pettus

Monica Pettus

Date:

March 7, 2002

None of these documents are believed to impair the patentability of the presently claimed invention. Nevertheless, to complete the record, formal consideration and citation of these documents is requested.

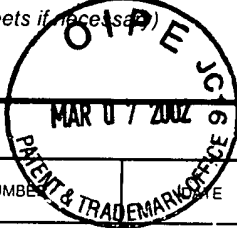
Respectfully submitted:

A handwritten signature in black ink, appearing to read 'Karen E. Klumas', with a long horizontal flourish extending to the right.

Karen E. Klumas
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Ticona LLC
86 Morris Avenue
Summit, NJ 07901
Telephone: 908-522-7867

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



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SERIAL NO.

10/016,334

Douglas A. Hammond et al.

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FILING

December 10, 2001

GROUP

1714

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,439,722	08/95	Brekner et al.	428	65.1	10/08/93
	4,874,808	10/89	Minami et al.	524	291	04/29/88

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.